

Privacy Policy for PFA Professional Group, LLC and Affiliates

- Professional Financial Advisors, Inc., a Registered Investment Advisory firm providing fee-based financial planning and investment management services.
- PFA Security Asset Management, Inc., a FINRA licensed Broker/Dealer selling Mutual Fund Shares, Variable Annuities and Variable Life Insurance Products.
- Professional Tax Advisors, Inc., a company providing Tax Planning and Preparation Services.
- Professional Asset Management Advisors, Inc., an Insurance Agency specializing in Fixed Annuity sales.

Protecting Your Privacy

Our relationship with you is our most valuable asset and is the very basis of our reputation. We understand the importance you place on the privacy and security of your information. We are committed to protecting the personal and financial information you have entrusted to us. It is important for you to understand what information we collect, how we will use it and who may see it.

Our Pledge to You

While information plays a significant role in our ability to provide services, keeping information secure and using it only as intended by our clients is our commitment. With that in mind, our pledge to you is as follows:

- We do not sell personal information to anyone.
- We will only share personal information with others as stated in this policy, unless we receive specific authorization from you in advance.

We do reserve the right to disclose or report personal information in limited circumstances where we believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities, to collect or report debts owed to us, to protect our rights or property or upon reasonable request by a company in which you have chosen to invest through us.

- We will restrict access to your information to those who need to know in order to provide services to you.
- We will maintain physical, electronic and procedural safeguards that comply with federal laws and regulations to guard the information we have about you.
- We will use information in ways that are compatible with the purposes for which we originally requested it.

Information We Collect

The information we collect and maintain about you comes primarily from you, during the course of doing business with you. This information comes from the following sources:

- Information you provide to us when we fill out applications or you make requests for services. This information includes, but is not limited to your name, address, social security number, assets, liabilities, income, expenses and insurance coverage.
- Information from transactions executed through our organization, and
- Tax returns.

How Information Helps Both You and Us

Our goal is to provide you with the most comprehensive financial assistance necessary to help you attain your financial goals. Information about you and your financial situation plays a key role in our ability to meet this goal.

We use information to:

- Respond more accurately and efficiently to your requests.
- Identify opportunities to give you more convenience and control by developing new services that may benefit you.

Sharing Information with Outside and Affiliated Companies

To protect your privacy, we limit the information we share with outside companies. We may share information described above with outside companies to complete a transaction you have requested or to help us service your account.

An Ongoing Commitment

We are just as committed to protecting the privacy of our former customers as we are to our current customers. If you choose to discontinue working with us, we will continue to treat this issue with the highest level of respect and care. We will continue to employ the above noted policy for both current and inactive clientele.

Contact Us

If you have questions or concerns about our Privacy Policy, how it is implemented or how it affects you, or if you wish to receive a copy of our Business Continuity Plan, please contact your Investment Advisor Representative at your convenience at the following number: (717) 761-4646